



INFORMATION DISCLOSURE STATEMENT

Applicant : Kain et al.
 App. No. : 10/638,173
 Filed : August 6, 2003
 For : ALTERNATIVE SUBSTRATES AND
 FORMATS FOR BEAD-BASED ARRAY
 OF ARRAYSTM
 Examiner : Unknown
 Group Art Unit : 3747

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 136 references that are of record in U.S. patent application No. 09/782,588, filed February 2, 2001, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/4/04

By: Marc Baumgartner

Marc C. Baumgartner
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ILLINC.026C1	APPLICATION NO. 10/638,173
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Kain et al.	FEB 06 2004 U.S. PATENT & TRADEMARK OFFICE GROUP 3747
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	4,200,110	04/1980	Peterson et al.			
2	4,499,052	02/1985	Fulwyler			
3	4,682,895	07/1987	Costello			
4	4,785,814	11/1988	Kane			
5	4,822,746	04/1989	Walt			
6	4,824,789	04/1989	Yafuso et al.			
7	4,999,306	03/1991	Yafuso et al.			
8	5,002,867	03/1991	Macevitz			
9	5,028,545	07/1991	Soini			
10	5,105,305	04/1992	Betzig et al			

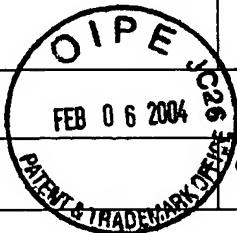
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
11	EP 0 269 764	06/1998	Europe				
12	EP 0 392 546	10/1990	Europe				
13	EP 0 478 319	04/1992	Europe				
14	EP 0 723 146	07/1996	Europe				
15	WO 89/11101	11/1989	PCT				
16	WO 93/02360	02/1993	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
17	Abel et al., <u>Fiber-Optic Evanescent Wave Biosensor for the Detection of Oligonucleotides</u> , <i>Anal. Chem.</i> 68:2905-2912 (1996)
18	Anonymous, <u>Microsphere Selection Guide</u> , Bang Laboratories, (Fisher, In) September 1998
19	Anonymous, <u>Fluorescent Microspheres</u> , Tech. Note 19, Bang Laboratories, (Fisher, In) February 1997
20	Bangs, L.B., <u>Immunological Applications of Microspheres</u> , The Latex Course, Bangs Laboratories (Carmel, IN) April 1996
21	Barnard et al., <u>A Fibre-Optic Chemical Sensor with Discr te Sensing Sites</u> , <i>Nature</i> 353:338-340 (1991)

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22	5,114,864	05/1992	Walt			
23	5,132,242	07/1992	Cheung			
24	5,143,853	09/1992	Walt			
25	5,194,300	03/1993	Cheung			
26	5,244,636	09/1993	Walt et al.			
27	5,244,813	09/1993	Walt et al.			
28	5,250,264	10/1993	Walt et al.			
29	5,252,494	10/1993	Walt			
30	5,298,741	03/1994	Walt et al.			
31	5,302,509	04/1994	Cheeseman			

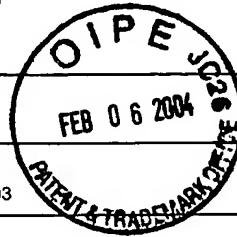
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						YES	NO
32	WO 93/25563	12/1993	PCT				
33	WO 96/03212	02/1996	PCT				
34	WO 97/14028	04/1997	PCT				
35	WO 97/14928	04/1997	PCT				
36	WO 97/31256	08/1997	PCT				
37	WO 97/40385	10/1997	PCT				

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38	Chen et al., <u>A Microsphere-Based Assay for Multiplexed Single Nucleotide Polymorphism Analysis Using Single Base Chain Extension</u> , <i>Genome Research</i> 10(4):549-557 (2000)
39	Czarnik, <u>Illuminating the SNP Genomic Code</u> , <i>Modern Drug Discovery</i> 1(2):49-55 (1998)
40	Drmanac et al., <u>Sequencing by Oligonucleotide Hybridization: A Promising Framework in Decoding of the Genome Project</u> , <i>The First International Conf. on Electrophoresis</i> , Apr. 10-13, 1990 Florida State Univ.
41	Drmanac et al., <u>Prospects for a Miniaturized, Simplified and Frugal Human Genome Project</u> , <i>Scientia Yugoslavica</i> 16(1-2):97-107 (1990)
42	Drmanac, et al., <u>Sequencing by Hybridization (SBH) with Oligonucleotide Probes as an Integral Approach for the Analysis of Complex Genomes</u> , <i>Inter. J. of Genome Research</i> 1(1):59-79 (1992)

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
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43	5,320,814	06/1994	Walt et al.				
44	5,357,590	10/1994	Auracher				
45	5,380,489	01/1995	Sutton et al.				
46	5,435,724	07/1995	Goodman et al.				
47	5,474,895	12/1995	Ishii et al.				
48	5,481,629	01/1996	Tabuchi				
49	5,494,798	02/1996	Gerdt et al.				
50	5,494,810	02/1996	Barany et al.				
51	5,496,997	03/1996	Pope				
52	5,512,490	04/1996	Walt et al.				

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				YES	NO		
53	WO 98/13523	04/1998	PCT			YES	NO
54	WO 98/40726	09/1998	PCT				
55	WO 98/50782	11/1998	PCT				
56	WO 98/53093	11/1998	PCT				
57	WO 98/53300	11/1998	PCT				
58	WO 99/18434	04/1999	PCT				

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	59 Drmanac, et al. <u>Sequencing by Hybridization</u> , <u>Automated DNA Sequencing and Analysis</u> ed. M. Adams, C. Fields and J. Venter (1994)	
	60 Ferguson et al., <u>A Fiber-Optic DNA Biosensor Microarray for the Analysis of Gene Expression</u> , <u>Nature Biotech</u> 14:1681-1684 (1996)	
	61 Fuh et al., <u>Single Fibre Optic Fluorescence pH Probe</u> , <u>Analyst</u> 112:1159-1163 (1987)	
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			GROUP 3747

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63	5,516,635	05/1996	Ekins et al.			
64	5,565,324	10/1996	Still et al.			
65	5,573,909	11/1996	Singer et al.			
66	5,575,849	11/1996	Honda et al.			
67	5,633,972	05/1997	Walt et al.			
68	5,639,603	06/1997	Dower et al.			
69	5,656,241	08/1997	Seifert et al.			
70	5,690,894	11/1997	Pinkel et al.			
71	5,814,524	10/1998	Walt			
72	5,830,711	11/1998	Barany et al.			

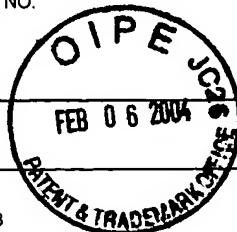
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75	WO 99/67641	12/1999	PCT				
76	WO 00/04372	01/2000	PCT				
77	WO 00/13004	03/2000	PCT				
78	WO 00/16101	03/2000	PCT				

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79	Healey et al., <u>Development of a Penicillin Biosensor Using a Single Optical Imaging Fiber</u> , SPIE Proc. 2388:568-573 (1995)
80	Healey et al., <u>Fiberoptic DNA Sensor Array Capable of Detecting Point Mutations</u> , Analytical Biochem. 251:270-279 (1997)
81	Hirschfeld et al., <u>Laser-Fiber-Optic Optrode for Real Time In Vivo Blood Carbon Dioxide Level Monitoring</u> , J. of Lightwave Tech. LT-5(7):1027-1033 (1987)
82	Iannone et al., <u>Multiplexed Single Nucleotide Polymorphism Genotyping...</u> , Cytometry 39:131-140 (2000)

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83	5,840,256	11/1998	Demers et al.			
84	5,854,684	12/1998	Stabile et al.			
85	5,863,708	01/1999	Zanzucchi et al.			
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87	5,888,723	03/1999	Sutton et al.			
88	5,900,481	05/1999	Lough et al.			
89	5,679,524	10/1997	Nikiforov et al.			
90	5,856,083	01/1999	Chelsky et al.			
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94	WO 00/47996	08/2000	PCT				
95	WO 00/48000	09/2000	PCT				
96	WO 00/58516	10/2000	PCT				
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98	WO 00/71243	11/2000	PCT				

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99	Michael et al., <u>Making Sensors out of Disarray: Optical Sensor Microarrays</u> , Proc. SPIE 3270:34-41 (1998)	
100	Michael et al., <u>Randomly Ordered Addressable High-Density Optical Sensor Arrays</u> , Anal. Chem. 70(7):1242-1248 (1998)	
101	Michael et al., <u>Fabrication of Micro-and Nanostructures Using Optical Imaging Fibers and Their Use as Chemical Sensors</u> , Proc. 3 rd Intl. Symp. Microstructures and Microfabricated Systems, ed. P.J. Hesketh, et al. v. 95-7, Electrochem. Soc., 152-157 (1997)	
102	Mignani et al., <u>In-Vivo Biomedical Monitoring by Fiber-Optic Systems</u> , J. of Lightwave Tech. 13(7):1396-1406 (1995)	

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103		6,023,540	02/2000	Walt et al.			
104		6,027,889	02/2000	Barany et al.			
105		6,051,380	04/2000	Sosnowski et al.			
106		6,054,564,	04/2000	Barany et al.			
107		6,083,763	07/2000	Balch			
108		6,110,678	08/2000	Weisburg et al.			
109		6,129,896	10/2000	Noonan et al.			
110		6,172,218	01/2001	Brenner			
111		6,251,639	06/2001	Kurn			
112		6,268,148	07/2001	Barany et al.			

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							YES	NO
113		WO 00/71992	11/2000	PCT				
114		WO 00/71995	11/2000	PCT				
115		WO 00/75373	12/2000	PCT				
116		EP 0 269 764	06/1988	EP				

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117	Pantano et al., <u>Ordered Nanowell Arrays</u> , <i>Chem. Mater.</i> 8(12):2832-2835 (1996)	
118	Peterson et al., <u>Fiber-Optic Sensors for Biomedical Applications</u> , <i>Science</i> 13:123-127 (1984)	
119	Peterson et al., <u>Fiber-Optic Ph Probe for Physiological Use</u> , <i>Anal. Chem.</i> 52:864-869 (1980)	
120	Piunno et al, <u>Fiber-Optic DNA Sensor for Fluorometric Nucleic Acid Determination</u> , <i>Anal. Chem.</i> 67:2635-2643 (1995)	
121	Pope, <u>Fiber Optic Chemical Microsensors Employing Optically Active Silica Micr sph r s</u> , <i>SPIE</i> , 2388:245-256 (1995)	

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122		2002/0132241	09/2002	Fan et al.			
123		5,466,319	11/1995	Zager et al.			
124		6,327,410	12/2001	Walt et al.			
125		6,342,349	01/2002	Virtanen			
126		5,254,477	10/1993	Walt et al.			
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132	Strachan et al., <u>A Rapid General Method for the Identification of PCR Products Using a Fibre-Optic Biosensor and its Application to the Detection of Listeria</u> , <i>Letters in Applied Microbiol.</i> 21:5-9 (1995)	
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	135	Lyamichev et al., <u>Polymorphism identification and quantitative detection of genomic DNA by invasive cleavage of oligonucleotide probes</u> , <i>Nature Biotechnology</i> , 17:292-296 (1999)
	136	Barker et al., <u>Development and Cellular Applications of Fiber Optic Nitric Oxide Sensors based on...</u> , <i>Analytical Chem.</i> 70(23) (1998)

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